Promoting Interoperability: Public Health Reporting and Registries

Arizona Department of Health Services
April 30, 2020
Public Health Reporting Registries
Learning Objectives

• Learn about the available Public Health Reporting Registries at the Arizona Department of Health Services

• Learn about Active Engagement and the 3 Options: Registration, Testing and Validation, Production

• Learn about Active Engagement status documentation from the Arizona Department of Health Services
What Public Health Reporting Registries Are Available at ADHS?

• Go to the ADHS Meaningful Use homepage
Meaningful Use and Arizona's Public Health Systems

The adoption and utilization of electronic health records (EHR) is a vital national goal established under the Health Information Technology for Economic and Clinical Health Act (HITECH Act). One goal of HITECH Act is to increase the Meaningful Use (MU) of EHR technology among healthcare providers.

Meaningful Use is using certified EHR technology:

- To improve quality, safety, efficiency, and reduce health disparities
What Public Health Reporting Registries Are Available at ADHS?

• Look for the table of Public Health Measures (reporting Measures) and Healthcare Provider Types

• Review registries in the Eligible Professional row
## Public Health Meaningful Use Measures

Please refer back, as the status of measures may change.

<table>
<thead>
<tr>
<th>Healthcare Provider Type</th>
<th>Immunization</th>
<th>Reportable Lab Results</th>
<th>Syndromic surveillance</th>
<th>Cancer</th>
<th>Other ADHS Registry</th>
<th>Outside Registry</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible Professional*</td>
<td>Yes</td>
<td>N/A</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Contact your national <a href="#">specialty society</a>.</td>
</tr>
<tr>
<td>Eligible Hospitals and Critical Access Hospitals*</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>N/A</td>
<td>No</td>
<td>For <a href="#">National Health Care Survey</a> participants, CDC is accepting submissions for MU.</td>
</tr>
</tbody>
</table>
Meaningful Use

Contact Us

Meaningful Use
150 N 18th Avenue, Suite 140
Phoenix, AZ 85007
Phone: (602) 542-6002
Email: Meaningful Use

Arizona State Immunization Information System
Phone: (602) 364-3899
Email: ASIIS

Electronic Laboratory Reporting / Reportable Lab Results
Phone: (602) 542-6002
Email: ELR

Syndromic Surveillance
Phone: (602) 542-6002
Email: Syndromic Surveillance

Arizona Cancer Registry
Phone: (602) 542-7314
Email: Arizona Cancer Registry
What if I still have questions?

• Contact the ADHS Meaningful Use Helpdesk

meaningfuluse@azdhs.gov
Active Engagement

Option 1 – Completed Registration to Submit Data

Option 2 - Testing and Validation

Option 3 – Production
Option 1: Registration

- Registration of intent to submit data to public health is required within **60 days** after the start of the EHR reporting period. The healthcare provider must enter and submit information on the ADHS Registration Site if they meet all of the following:
  
  - Intend to attest to a new public health measure for Promoting Interoperability
  - Meet criteria to send data to the ADHS system (see measure specific webpages)
  - Have software certified for the measure (EHR packages do not always include software certified for public health measures. Additional modules may be required.)

- If the healthcare provider has previously registered for a measure, they do not need to re-register. If they plan to attest to a new public health measure, they will need to register for the measure.
What are the steps to Actively Engage with an ADHS Public Health Reporting Registry?

• Review the Registry webpage, including their declaration of readiness, criteria for reporting
• Use the Registration of Intent Eligible Professional Worksheet to collect information for the registration
• Go to the AZ Public Health MU Portal
Meaningful Use and Arizona's Public Health Systems

The adoption and utilization of electronic health records (EHR) is a vital national goal established under the Health Information Technology for Economic and Clinical Health Act (HITECH Act). One goal of HITECH Act is to increase the Meaningful Use (MU) of EHR technology among healthcare providers.

Meaningful Use is using certified EHR technology:

- To improve quality, safety, efficiency, and reduce health disparities
Example of Declaration of Readiness

Meaningful Use

Home
Arizona State Immunization Information System (ASIIS)
Electronic Lab Reporting (ELR)
Syndromic Surveillance
Cancer Registry
Cancer Registry FAQs
Additional Resources
Contact Us

Syndromic Surveillance

Status

The Arizona Department of Health Services is currently accepting electronic submissions of Syndromic Surveillance messages from eligible hospitals and critical access hospitals for Meaningful Use. ADHS is not accepting Syndromic Surveillance messages from eligible professionals for Meaningful Use. As of July 1, 2016, ADHS is declaring readiness to accept Stage 3 Syndromic Surveillance data submissions from hospitals beginning on January 1, 2017. ADHS will accept submissions from both 2014 Edition and 2015 Edition Certified Electronic Health Record Technology.

Background

Syndromic surveillance is a public health measure available for hospitals in Arizona for the Meaningful Use electronic health record incentive program. This Syndromic Surveillance consists of receiving inpatient and emergency department data in a timely manner so that public health can use pre-diagnostic clinical data to understand what is happening in the community. Arizona uses the national BioSense Platform to receive data and conduct Syndromic Surveillance activities. Syndromic Surveillance data is used to support event detection, increase situational awareness, and focus public health actions. Specifically, Arizona has used the data to monitor health during large public events, understand the severity of influenza and look for patients with emerging diseases such as dengue, chikungunya and Zika.

Steps for Providers
As of **July 1, 2016** the ACR will only accept Meaningful Use/Promoting Interoperability submissions from providers who meet the following additional criteria.

The reporting EP diagnosis or treats **100 or more** reportable cancer cases in a calendar year, and:

- EP for this reporting facility is licensed under one or more of the following specialties:
  - Dermatologists
  - Gastroenterologists
  - Hematologists
  - Medical Oncologists
  - Radiation Oncologists
  - Surgeons
  - Urologists
Registration of Intent

EPs, EHs and CAHs planning to send data to ADHS should register their intent to submit messages for the MU Public Health measures within 60 days after the start of the EHR reporting period. ADHS will provide information and instructions once the registration has been submitted.

Please complete one registration for each group of hospitals (EH/CAH) or eligible professionals (EP) in the organization that are implementing the public health measures in the same reporting period and are using the same software(s) for creating the messages for public health.

- Set aside 25-30 minutes to complete the process
  - Have all the Organization/Site and EHR vendor/IT technical support contact information available (contact names, email addresses, phone #s).
  - Use the following PDF worksheets to gather the required information for registration with public health. Once the account has been created, you can save your work and return to complete the registration process.
    - EP worksheet
    - EH-CAH worksheet

Register your Intent in the AZ Public Health MU Portal
Register your Intent in the AZ Public Health MU Portal
Information submitted through the Arizona Public Health Meaningful Use Portal is done so for the purposes of registering your intent to submit data to the Arizona Department of Health Services for the Meaningful Use program. Information provided must be true and complete to the best of your knowledge with information available at the time of submission. Omissions, misstatements or falsifications may result in rejection of the Registration of Intent. Information entered in the Arizona Public Health Meaningful Use Portal, its automated emails or follow-up communications from the Arizona Department of Health Services may be used during an audit by representatives of the Centers for Medicare and Medicaid Services (CMS) and the Arizona Health Care Cost Containment System (AHCCCS).

☐ * Check this box to indicate you acknowledge you are aware of the above statements.
Disclaimer before Registration Submission

Are you sure you want to submit your Registration of Intent to ADHS? Once you submit, you will not be able to change your measures. The Practices/Eligible Professionals (EPs) and list of resources can be changed after submission.

Information submitted through the Arizona Public Health Meaningful Use Portal is done so for the purposes of registering your intent to submit data to the Arizona Department of Health Services for the Meaningful Use program. Information provided must be true and complete to the best of your knowledge with information available at the time of submission. Omissions, misstatements or falsifications may result in rejection of the Registration of Intent. Information entered in the Arizona Public Health Meaningful Use Portal, its automated emails or follow-up communications from the Arizona Department of Health Services may be used during an audit by representatives of the Centers for Medicare and Medicaid Services (CMS) and the Arizona Health Care Cost Containment System (AHCCCS).

* Check this box to indicate you acknowledge you are aware of the above statements.

Continue  Cancel
What Does Active Engagement Option 1 Documentation Look Like?

- Registration of Intent Submission emails
- Hold Queue email and letter
- Active Engagement Letter

*Not limited to examples shared in this presentation*
AZ MU PHP-EP (Eligible Professional(s) - EP)

Your Public Health Meaningful Use Registration of Intent has been successfully submitted to the Arizona Department of Health Services (ADHS) as of date 4/25/2016 10:27:37 AM.

AZ MU PHP-EP registered intent to submit data for the Immunization Registry measure.

Attached you will find a summary of information your organization submitted.

We look forward to working with you!

Arizona Immunization Program Meaningful Use Team
Email: ASISs_Group1@azdhs.gov
Phone: 877-491-5741 Fax: 602-364-3285

submitted-registration-immunization-registry-4.25.16.html
April 02, 2020

Name
Title
Organization
Address
City, State, Zip Code

Dear Partner:

Currently, Hospital Name has met option 1 and is in the Electronic Laboratory Reporting (ELR) hold queue, awaiting an invitation for the Kick Off Call from the Arizona Department of Health Services (ADHS).

Per Guidelines from the Final Rule, Public Health Reporting:

- **Objective**: The EP, eligible hospital, or CAH is in active engagement with a public health agency or clinical data registry to submit electronic public health data in a meaningful way using certified EHR technology, except where prohibited, and in accordance with applicable law and practice.
- **Measure 4 – Electronic Reportable Laboratory Result Reporting**: The eligible hospital or CAH is in active engagement with a public health agency to submit electronic reportable laboratory (ELR) results.
Option 2: Testing and Validation

• After registration the healthcare provider may be placed in a hold queue. When ADHS is ready to begin testing, the provider will receive an invitation to begin. The testing and validation process ensures successful transmission and high data quality.

• If a provider fails to respond to requests from ADHS within 30 days on two separate occasions within their reporting period, they fail to meet the measure.
What is a Hold Queue?

• At any time during active engagement, a provider can be placed into a hold queue.

• It is most often used when there are limited resources to begin testing and validation.

• A provider does not need to re-register their intent if placed in the hold queue.
What Does Active Engagement Option 2 Documentation Look Like?

- Invitation to begin Testing and Validation emails
- Kick Off Call email and calendar appointments
- Onboarding Call, Notes, Emails
- Active Engagement Letter

*Not limited to examples shared in this presentation*
April 02, 2020

Name
Title
Organization
Address
City, State, Zip Code

Dear Partner:

Currently, Hospital Name has met option 1 and is in the Electronic Laboratory Reporting (ELR) hold queue, awaiting an invitation for the Kick Off Call from the Arizona Department of Health Services (ADHS).

Per Guidelines from the Final Rule, Public Health Reporting:

- **Objective**: The EP, eligible hospital, or CAH is in active engagement with a public health agency or clinical data registry to submit electronic public health data in a meaningful way using certified EHR technology, except where prohibited, and in accordance with applicable law and practice.
- **Measure 4 – Electronic Reportable Laboratory Result Reporting**: The eligible hospital or CAH is in active engagement with a public health agency to submit electronic reportable laboratory (ELR) results.
Dear Medical Provider,

Thank you for your interest in interfacing with the Arizona Immunization Registry as described in your initial interest form submitted on 03/01/2015. Your application only indicates a single site location, if there is more than one location, please provide us that information.

During the testing process you must continue to report immunization information and manage inventory in ASiIS. Usually this would require continuing the current workflow until your HL7 Interface is completed and moved to production. You may consult your EMR vendor and IT staff to determine if any adjustments are necessary.

Attached, you will find documents that will explain and assist you with the On-Boarding process. The first document contains the steps of each phase to be completed. You have already completed the first step with the submission of the Initial Interest Form. Now, you are ready to begin the second step by submitting a system generated 2.5.1 HL7 message to our Provider Testing Tool website and sending me the acknowledgment returned.

To accomplish sending the message, open the Attestation page - https://app.azdhs.gov/phs/asis/ehrinteroperability/Attestation.aspx. Complete the Organization fields with the Provider information. Complete the Contact fields with your contact information. Paste the HL7 Message generated by your EHR into the HL7 Messages box. The message needs to be HL7 version 2.5.1. Press Submit. This will generate a results file. Here is a sample RESULTS file to assist you.
Invitation to Kick Off Call

SYNDROMIC SURVEILLANCE KICK-OFF CALL INVITATION

Arizona Syndromic Surveillance Program - ADHS

To: [redacted]

We would like to invite you to have a kick-off call to begin working with our team on the syndromic surveillance implementation for [redacted]. If possible, we would like to set up the call in the beginning of March 2019. We would also like to know if you would be open to having a joint kick-off call with another AZ [redacted] facility?

- Please complete the following information:
  - The form attached "BioSense Platform Data Provider Implementation Resources v2" with the contact information for the resources that should be invited to the kick-off call and will participate in the implementation for both the facilities and your EHR Vendor.
  - The [redacted] poll with your availability to have a kick-off call [redacted].
  - We would also like to know if you would be open to having a joint kick-off call with another AZ [redacted] facility?

Thank you,
Krystal

Krystal S. Collier, BA
Health Program Manager
Electronic Disease Surveillance Program
Arizona Department of Health Services
150 North 18th Avenue, Suite 550, Phoenix, AZ 85007
Direct 602-542-6002
Email krystal.collier@azdhs.gov

Health and Wellness for All Arizonans
Kick Off Call

Syndromic Surveillance Kick Off

Mar 27, 2020 9:00am to 10:00am

Time zone

All day Does not repeat

Event Details

Join Hangouts Meet
meet.google.com/kto-naj-ucm

Notification 10 minutes

Add notification

Guests

Add guests

13 guests
8 yes, 1 maybe, 4 awaiting

Data Provider

Registration of Intent Submission:
04/28/2014

Data Use Agreement on file between ADHS and
Yes, executed on 07/23/2014

EHR Vendor

Local Public Health Jurisdiction that Facility is located in:
Coconino County
Hi Yong,

I did notice some changes in the files received from today. So far, it looks like we have now receiving the missing OBX segments in A03 messages so that problem is fixed as of today. For all the other pending issues, I did not see them being fully addressed yet.

For DG1-6 (diagnosis type), we are no longer getting "11" but the values received ("1015") still did not meet the requirement. All internal codes need to be mapped to the concept codes from the standard value set we shared. For this field specifically, we are looking for A (for admitting diagnosis), W (for diagnosis in working progress) or F (for final diagnosis at discharge).

Please let us know if you have any questions or if there is anything we can be of assistance. Thank you!

Yong Wang
NL7 Data Analyst
Electronic Disease Surveillance Program
Business Intelligence Office
Arizona Department of Health Services
150 North 15th Avenue Suite 150, Phoenix, AZ 83107
Phone: 602-542-4069
Email: Yong.Wang@azdhs.gov
Health and Wellness for all Arizonans

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New ADHS DQ Test Report Available for [redacted]  

no-reply@syndromic-surveillance.azdhs.gov  
to: [redacted] yong.wang, [redacted] me  
See attached weekly reports created for 2020-W10  

The information and any of the attachments contained in this message is confidential and intended solely for the use of the individual or entity named. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any dissemination, distribution, copying or unauthorized use of this communication is strictly prohibited. If you have received this by error, please notify the sender below immediately.

Arizona Syndromic Surveillance Program - ADHS <syndromicsurveillance@azdhs.gov>

3 Attachments

[Views of attached report images]
Update on HL7 Data Issues Found in ADHS ELR Test Submissions in Last 10 Days

Yong Wang

Hi, ELR team

Please see updated issue list from our last communication as well as another list of accession numbers for tests received in the last 10 days with no SCT codes in specimen type fields.

Please let us know if you have any questions or concerns.

Thank you!

Yong Wang
HL7 Data Analyst
Electronic Disease Surveillance Program
Business Intelligence Office
Arizona Department of Health Services
150 North 18th Avenue Suite 550, Phoenix, AZ 85007
Direct 602-542-6083
Email Yong.Wang@adhs.az.gov
Health and Wellness for all Arizonans

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2 Attachments

- ELR HL7 Data Issue Table
- List of Accession Numbers
April 02, 2020

Name
Title
Organization
Address
City, State, Zip Code

Dear Partner:

Currently, the Hospital Name is actively engaged in option 2, testing and validation with the Arizona Department of Health Services (ADHS).

Per Guidelines from the Final Rule, Public Health Reporting:

- **Objective:** The EP, eligible hospital, or CAH is in active engagement with a public health agency or clinical data registry to submit electronic public health data in a meaningful way using certified EHR technology, except where prohibited, and in accordance with applicable law and practice.
- **Measure 2—Syndromic Surveillance Reporting:** The Eligible Professional (EP), eligible hospital, or Critical Access Hospital (CAH) is in active engagement with a public health agency to submit syndromic surveillance data from an urgent care setting.
Option 3: Production

- ADHS will notify the healthcare provider when their data is fully validated and flowing to the Production system at ADHS. After that ADHS will contact the provider to resolve any issues with submission or data quality that arise.
What Does Active Engagement Option 3 Documentation Look Like?

- Invitation to begin Testing and Validation emails
- Go Live Call email and calendar appointments
- Active Engagement Letter

*Not limited to examples shared in this presentation*
Hi All,

We would like to set up a Go Live Call for the Syndromic Surveillance System. If possible we would like to have the call before the end of February.

- Can you complete the following doodle poll: [https://doodle.com/poll/x4hr0ye3y4x5dhmr](https://doodle.com/poll/x4hr0ye3y4x5dhmr) with your date/time availability?
- Cheryl—can you ask Infection Prevention (IP) to attend the call?

Thanks,
Krystal

**Krystal S. Collier, BA**

Syndromic Surveillance Program Coordinator
Electronic Disease Surveillance Program

**Arizona** Department of Health Services
150 North 18th Avenue, Suite 550, Phoenix, AZ 85007
Direct 602-542-6002
Email krystal.collier@azdhs.gov

*Health and Wellness for all Arizonans*
Go Live Call

Event Details

Hangouts Meet

Join Hangouts Meet

Notification 10 minutes

Add notification

Guests

21 guests

Data Provider:

Parent Organization:

EMR Vendor:

AZ Syndromic Surveillance System:
BioSense Platform

Facility located in:
Mohave County
Hi All,

We would like to set up a Go Live Call for [redacted]. If possible we would like to have the call before the end of February.

- Can you complete the following doodle poll: [https://doodle.com/poll/x4hrtye3x45fhnl](https://doodle.com/poll/x4hrtye3x45fhnl) with your date/time availability?
- Cheryl-can you ask Infection Prevention (IP) to attend the call?

Thanks,
Krystal

**Krystal S. Collier, BA**

Syndromic Surveillance Program Coordinator

Electronic Disease Surveillance Program

**Arizona** Department of Health Services

150 North 18th Avenue, Suite 550, Phoenix, AZ 85007

Direct 602-542-6002

Email krystal.collier@azdhs.gov

*Health and Wellness for all Arizonans*
Provider registered intent with Arizona State Immunization Information System to provide ongoing submission of immunization data on registration date. Provider have been continuously providing Immunization messages to ASIIS via an HL7 2.5.1 Interface since Go-live Date. Provider has been actively engaged and continued to report during year.

Roger Aikin  
ASIIS Interoperability Coordinator  
Arizona Department of Health Services  
150 N. 18th Ave., Suite 120  
Phoenix, AZ 85007  
(602) 542-8901  
Health and Wellness for all Arizonans
Option 3: Production Letter

April 02, 2020

Name
Title
Organization
Address
City, State, Zip Code

Dear Partner:

We are pleased to inform you that Hospital Name continues sending syndromic surveillance data to Arizona’s Production System and currently meets Option 3 of Active Engagement for Promoting Interoperability in accordance with the below objective and measure as of Date.

Per Guidelines from the Final Rule, Public Health Reporting:

- **Objective:** The EP, eligible hospital, or CAH is in active engagement with a public health agency or clinical data registry to submit electronic public health data in a meaningful way using certified EHR technology, except where prohibited, and in accordance with applicable law and practice.
- **Measure 2—Syndromic Surveillance Reporting:** The Eligible Professional (EP), eligible hospital, or Critical Access Hospital (CAH) is in active engagement with a public health agency to submit syndromic surveillance data from an urgent care setting.

Douglas A. Ducey | Governor
cara M. Christ, MD, MS | Director

*Letters for Official Use Only*
Common Questions

- Can I have an exclusion letter?
- Are you accepting data for X registry?
- Can I submit to X registry, even if I don’t meet the criteria for administering, diagnosing or clinically treating that disease or condition, and/or I don’t have the certified software to send that data to the public health registry at ADHS?
- Why do I need to know my reporting period?
Does ADHS Provide Exclusion Letters?

• **NO**

• ADHS does not provide exclusions or exclusions letters

• ADHS can tell you which of our registries have declared their readiness and available and what healthcare provider types

• Join us next week for AHCCCS’ presentation, to learn more about exclusions
From: meaningfuluse
Sent: Friday, April 15, 2016 11:24 AM
To: Clinic
Cc: Krystal Collier; Sara Imbault; ASIS_GROUP; Arizona Syndromic Surveillance Program; Arizona Cancer Registry

Subject: RE: EP: Meaningful Use Request – Clinic Name-Public Health Objective

Hi,

Thanks for speaking with me today. You were calling to find out about for the Public Health Measures for 2015 and 2016. For our discussion the EP specialty is an Ophthalmologist in Stage 2 and participating in the Medicare portion of the EHR Incentive Program. You noted the CMS audit for the reporting period of October to December 2015. ADHS Meaningful Use webpage is great place to find information such as our declared readiness for the Public Health Measures we are currently accepting. I did make reference to other specialized registries that may be available to your EPs outside of the Public Health Agency [PHA=ADHS in this case] and said I would attach webinar slide deck along with CMS FAQs we discussed. Please feel free to share this information and let us know if you have any additional questions.

Immunization Registry:
- Do you administer immunizations? The EP you are calling on behalf of does not administer immunizations.

Syndromic Surveillance:
- The Arizona Department of Health Services is not accepting syndromic surveillance submissions from Eligible Professionals (EPs) for Meaningful Use. At this time we do not have plans to accept syndromic surveillance messages from EPs. Please check our website next year for any status updates at that time (https://azdhs.gov/meaningful-use/).
  - Eligible Professionals
    - Use this Spec sheet from CMS to learn more about the Public Health Objective 10, measures and exclusions
- Measure 2 Exclusions: Any EP meeting one or more of the following criteria may be excluded from the syndromic surveillance reporting measure if the EP:
  - Is not in a category of providers from which ambulatory syndromic surveillance data is collected by their jurisdiction's syndromic surveillance system;
  - Operates in a jurisdiction for which no public health agency is capable of receiving electronic syndromic surveillance data from EPs in the specific standards required to meet the CEHRIT definition at the start of the EHR reporting period; or
  - Operates in a jurisdiction where no public health agency has declared readiness to receive syndromic surveillance data from EPs at the start of the EHR reporting period. (This is the language I referred to during our call)

Specialized Registry:
- Arizona Department of Health Services is accepting electronic submissions for the Cancer Registry at this time.
- Key Questions to help you, if you need to Register your intent with ADHS for the Cancer Registry:
  - Do you diagnose or treat cancer patients? The EP you are calling on behalf of does not diagnose or treat cancer patients.
- CDC NU and ONC webinar, CMS FAQs for Specialized Registry Reporting and CMS Specification Sheets for Public Health Measures:
  - I am attaching a slide deck from a webinar that CDC, ONC and CMS held last October shortly after the new rules were released. I suggest looking at slide 12 which correspondence to language for the CMS final rules on page 62820 of the
[EHR Incentive Programs] Can a provider register their intent after the first 60 days of the reporting period in order to meet the measures if a registry becomes available after that date?

If a registry declares readiness at any point in the calendar year after the initial 60 days, a provider may still register their intent to report with that registry to meet the measure under Active Engagement Option 1. However, a provider who could report to that registry may still exclude for that calendar year if they had already planned to exclude based on the registry not being ready to allow for registrations of intent within the first 60 days of the reporting period.

Created 02/25/2016

(FAQ14393)
## Documentation of Active Engagement

### Summary

**Registration Testing and Validation Production**

- Email confirmation from the ADHS MU Registration site
- Email “letter” from ADHS with status

- Email communications
- Onboarding meeting notes
- Acknowledgements of messages received for testing
- Email “letter” from ADHS with status

- Email when ADHS puts them in production
- Production acknowledgements of messages received
- Submission report
- Email “letter” from ADHS with status

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If claiming an exclusion:

- Screenshot of the ADHS website with status of measure
- Email “letter” from ADHS with status
# CMS Supporting Documents for Audits

<table>
<thead>
<tr>
<th>Meaningful Use Objective</th>
<th>Audit Validation</th>
<th>Suggested Documentation</th>
</tr>
</thead>
</table>
| Immunization Registry Reporting, Syndromic Surveillance Reporting, Specialized Registry Reporting and Electronic Reportable Laboratory Result Reporting (eligible hospitals and CAHs only) | Active engagement with a public health agency to submit electronic data from certified EHR technology for the EHR reporting period. | • Dated screenshots from the EHR system that document successful submission to the registry or public health agency. Should include evidence to support that it was generated for that provider’s system (e.g., identified by National Provider Identifier (NPI), CMS Certification Number (CCN), provider name, practice name, etc.).
  • A dated record of successful electronic transmission (e.g., screenshot from another system, etc.). Should include evidence to support that it was generated for that provider (e.g., identified by National Provider Identifier (NPI), CMS Certification Number (CCN), provider name, practice name, etc.).
  • Letter or email from registry or public health agency confirming receipt of submitted data, including the date of the submission and name of sending and receiving parties. |
| Exclusions                                                                               | Documentation to support each exclusion to a measure claimed by the provider.     | Report from the certified EHR system that shows a zero denominator for the measure or otherwise documents that the provider qualifies for the exclusion.
For exclusions to public health reporting objectives, a letter, email, or screenshot from the registry that demonstrates the provider was unable to submit and would therefore qualify under one of the provided exclusions to the objective. |
| Alternate Exclusions and Specifications                                                   | Documentation to support each exclusion to a measure claimed by the provider.     | CMS will not require documentation that a provider did not intend or plan to attest to a menu objective for the provider to claim the alternate exclusion. |
Failed Audit Meaningful Use Appeal (Note: Documentation is required only for measures failed on audit)

- CEHRT proof of purchase (date, version number and provider information)
- Dated reports/screenshots from CEHRT that validate:
  - Core Measures and/or Exclusions
  - Menu Measures and/or Exclusions
  - Security risk analysis performed prior to the end of the reporting period
  - Patient list by condition from the EHR reporting period; patient-identifiable information (PII) should be masked before submission
  - A zero denominator for excluded measures and documentation justifying the exclusion
  - Documentation of a test submission to the registry or public health agency (whether successful or unsuccessful) that includes provider information
Failed Audit Meaningful Use Appeal (Note: Documentation is required only for measures failed on audit)

• Dated letter or email:
  – From immunization registry or public health agency confirming receipt, ongoing data submissions, date of submission and name of parties transmitting data
  – From vendor verifying CEHRT possession or other measures
What if I still have questions?

- Contact the ADHS Meaningful Use Helpdesk
  meaningfuluse@azdhs.gov
Resources

- ADHS Meaningful Use Website
- ADHS Public Health MU Portal
- AHCCCS Electronic Health Records Incentive Program
- HealthCurrent AHCCCS Promoting Interoperability Program
- CDC National Health Care Surveys
- National Institute of Health Registries
<table>
<thead>
<tr>
<th>Agency</th>
<th>Help With</th>
<th>Email</th>
<th>Phone</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADHS</td>
<td>Arizona State Immunization Information Systems</td>
<td><a href="mailto:ASIIS_Group1@azdhs.gov">ASIIS_Group1@azdhs.gov</a></td>
<td>(602) 364-3899</td>
</tr>
<tr>
<td>ADHS</td>
<td>Electronic Laboratory Reporting</td>
<td><a href="mailto:ELR@azdhs.gov">ELR@azdhs.gov</a></td>
<td>(602) 542-6002</td>
</tr>
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<td>ADHS</td>
<td>Syndromic Surveillance</td>
<td><a href="mailto:SyndromicSurveillance@azdhs.gov">SyndromicSurveillance@azdhs.gov</a></td>
<td>(602) 542-6002</td>
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<tr>
<td>ADHS</td>
<td>Arizona Cancer Registry</td>
<td><a href="mailto:CancerRegistry@azdhs.gov">CancerRegistry@azdhs.gov</a></td>
<td>(602) 542-7314</td>
</tr>
<tr>
<td>AHCCCS</td>
<td>PI Program</td>
<td><a href="mailto:EHRIncentivePayments@azahcccs.gov">EHRIncentivePayments@azahcccs.gov</a></td>
<td>(602) 417-4333</td>
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Q & A
THANK YOU

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